

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶:

H04N 7/16

A1

(11) International Publication Number: WO 99/00981

(43) International Publication Date: 7 January 1999 (07.01.99)

(21) International Application Number: PCT/US98/12753

(22) International Filing Date: 18

18 June 1998 (18.06.98)

(30) Priority Data:

60/051,148

27 June 1997 (27.06.97)

US

(71) Applicant: TRILITHIC, INC. [US/US]; 9202 East 33rd Street, Indianapolis, IN 46236 (US).

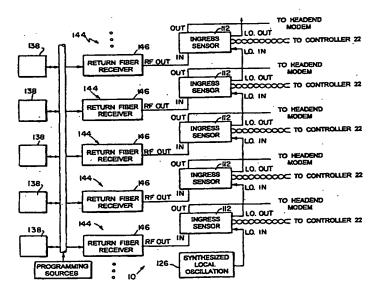
(72) Inventor: HARRIS, James, E.; 8815 Fathom Crest, Indianapolis, IN 46256 (US).

(74) Agent: CONARD, Richard, D.; Barnes & Thornburg, 11 South Meridian Street, Indianapolis, IN 46204 (US). (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(54) Title: INGRESS MONITORING SYSTEM



(57) Abstract

A system (10) for monitoring the ingress of noise into the return path (14) of a two-way CATV network comprises a detector circuit (16, 116) coupled to the return path (14) to receive a signal from the return path (14). The detector circuit (16, 116) provides an output signal indicative of the signal coupled from the network. A peak follower circuit (20, 120) coupled to the detector circuit (16, 116) stores a peak detected output signal from the detector circuit (16, 116). Illustratively, the peak follower circuit (20, 120) is coupled to the detector circuit (16, 116) through a filter circuit having a passband, for example, a low pass filter (18, 118). The peak follower circuit (20, 120) stores a peak detected output signal within the passband. A mixer (128) may be included for mixing the signal from the network with a local oscillator (126) frequency to produce a mixed signal, and for supplying the mixed signal to the detector circuit (16, 116).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

•	200	n t-	LS	Lesotho	SI	Slovenia
	ES	Spain	LT	Lithuania	SK	Slovakia
	FI	Finland			SN	Senegal
	FR	France	LU	Luxembourg	SZ	Swaziland
•	GA	Gabon	LV	Latvia	TD	Chad
en.	GB	United Kingdom	MC	Monaco	TG	Togo
nd Herzegovina	GE	Georgia	MD ·	Republic of Moldova	TJ	Tajikistan
3	GH	Ghana	MG	Madagascar	TM	Turkmenistan
	GN	Guinea	MK	The former Yugoslav	TR	Turkey
Faso	GR	Greece		Republic of Macedonia		•
	HU	Hungary	ML	Mali .	TT	Trinidad and Tobago
	IE	Ireland	MN	Mongolia	UA	Ukraine
	IL	Israel	MR	Mauritania	UG	Uganda
	IS	Iceland	MW	Malawi	US	United States of America
	IT	Italy	MX	Mexico	UZ	Uzbekistan
African Republic	JP	Japan	NE	Niger	VN	Viet Nam
	KE	Kenya	NL	Netherlands	YU	Yugostavia
and	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
voire	KP	Democratic People's	NZ	New Zealand		
on .		Republic of Korea	PL	Poland		
	KR	Republic of Korea	PT	Portugal		
	KZ	Kazakstan	RO .	Romania		
epublic	LC	Saint Lucia	RU	Russian Federation		•
y	LI	Liechtenstein	SD	Sudan		
			SE	Sweden		
.			SG	Singapore		
k k		LK LR	LK Sri Lanka	LK Sri Lanka SE	LK Sri Lanka SE Sweden	LK Sri Lanka SE Sweden

10

15

25

-1-

INGRESS MONITORING SYSTEM

Field of the Invention

This invention relates to systems for determining the quality of a communication network. It is disclosed in the context of a CATV system having a return path from each subscriber's terminal, but is believed to have utility in other applications as well.

Background Art

The importance of leakage detection and repair in CATV systems is well documented. See, for example, U.S. Patents Nos. 4,072,899 and 5,608,428. The invention relates to a continuous ingress monitoring system which performs ingress detection. The system can be configured to detect total, peak RF power in the return path frequency spectrum. This permits detection of the conditions that cause laser clipping in cable systems that include fiber optic legs. The system further can be configured to detect peak ingress power within specific bands. This permits detection of ingress of the frequencies most likely to disrupt transmissions through, for example, the fiber optic return path of a CATV network.

20 <u>Disclosure of the Invention</u>

According to the invention, a noise monitoring system comprises a detector circuit coupled to a signal source. The detector circuit provides an output signal indicative of the signal coupled from the source. A peak follower circuit is coupled to the detector circuit for storing a peak detected output signal from the detector circuit.

According to an illustrative embodiment of the invention, the peak follower circuit is coupled to the detector circuit through a filter circuit having a defined frequency range, or passband. The peak follower circuit stores a peak detected output signal within the defined frequency range.

Further according to an illustrative embodiment of the invention, the filter circuit comprises a low pass filter circuit.

10

15

20

25

Additionally according to an illustrative embodiment, the system further comprises a mixer for mixing the signal from the signal source with a local oscillator frequency to produce a mixed signal, and for supplying the mixed signal to the detector circuit.

According to an illustrative embodiment, the mixed signal is supplied to the detector circuit through a low pass filter.

Additionally according to an illustrative embodiment, the peak follower circuit has a characteristic attack time so that the peak follower circuit stores a peak detected output signal having a defined minimum duration from the detector circuit.

Further according to an illustrative embodiment, the system comprises a controller coupled to the peak follower circuit and configured to receive the amplitude of the stored peak signal.

According to an illustrative embodiment, the controller is configured to receive the amplitude of the stored peak signal periodically.

Additionally according to an illustrative embodiment, the controller is further configured to generate a message if the amplitude of the stored peak signal exceeds a defined threshold.

Further according to an illustrative embodiment, the system comprises a plurality of detectors and a plurality of peak follower circuits. The controller comprises a controller for sequentially interrogating the plurality of peak follower circuits, for receiving the amplitudes of the stored peak signals from the peak follower circuits in response to the sequential interrogation, for deleting the amplitudes of the stored peak signals after their respective amplitudes have been received, and then for repeating this cycle.

According to illustrative embodiments, the signal source is the return path of a two-way CATV system.

Brief Description of the Drawings

The invention may best be understood by referring to the following

detailed description and accompanying drawings which illustrate the invention. In the drawings:

15

20

25

30

Fig. 1 illustrates a partly block and partly schematic circuit diagram of a component of a system constructed according to the invention;

Fig. 2 illustrates a partly block and partly schematic circuit diagram of a component of a system constructed according to the invention;

Fig 3 illustrates a partly block and partly schematic circuit diagram of a component of a system constructed according to the invention; and,

Fig. 4 illustrates a partly block and partly schematic circuit diagram of a portion of a system constructed according to the invention.

10 Detailed Descriptions of Illustrative Embodiments

Referring to Figs. 1, 2 and 4, a system 10 constructed according to the invention can include one or more broadband, peak-holding RF detector circuits 12. Each circuit 12 detects RF at all frequencies within the return path 14 spectrum. The return path of a CATV network is coupled through one or more stages of RF amplification, as appropriate, to an input port of a detector 16. An output port of detector 16 is coupled to an input port of a low pass filter 18 having a corner frequency of, for example, <100KHz, to limit the video bandwidth. An output port of the low pass filter 18 is coupled to an input port of a peak-following detector 20. The cutoff frequency of the peak-following detector 20 is selected to set the minimum duration of ingress bursts that the circuit 12 is able to detect. The peak-following detector 20 has an attack time appropriate for the shortest impulse duration that the low pass filter 18 admits, and retains the peak detected voltage until that voltage is dumped by a signal from a system controller such as, for example, the system controller 22 illustrated in Fig. 3.

The system 10 can also include one or more narrow band peak-holding RF detector modules 112. Each module 112 also includes a detector element 116, an input port of which is coupled through one or more stages of RF amplification, as appropriate, to, for example, the return path of a CATV network. An output port of detector element 116 is coupled to an input port of a low pass filter 118. An output port of the low pass filter 118 is coupled to an input port of a peak-following detector 120. Before the detector element 116, however, is a variable bandpass circuit 124 which permits only a selected range of return path 14 spectrum frequencies, rather

10

15

20

25

30

than the full return path 14 frequency spectrum, to pass through to the detector element 116. An input port of the variable bandpass circuit 124 is coupled to receive the entire return path 14 frequency spectrum. The bandpass circuit 124 is made tunable by, for example, a local oscillator 126 and mixer 128, the local oscillator 126 being illustrated in Figs. 2 and 4 as tunable and common to a number of detector modules 112 for economy and flexibility in manufacture and application. Alternatively, a separate fixed frequency oscillator 126 output can be mixed in each respective mixer 128 with the signal at the input port of the respective variable bandpass circuit 124. In either event, the oscillator 126 output signals can be crystal controlled, digitally synthesized, or generated in any other suitable manner which will provide the desired oscillator 126 output signal frequencies to mix in the respective mixers 128 with the input signals at the input ports of respective variable bandpass circuits 124.

Referring now particularly to Fig. 3, the system 10 further includes a system 10 controller 22. Illustratively, but by no means necessarily, the controller 22 is modular. The controller 22 embodies a multiplex function to sample the held (20, 120) peaks at each detector module 12, 112. The controller 22 further includes a processor/memory combination 130 for logging measurements of the sampled (20, 120) voltages, for comparing the measurements to user-defined limits, and for taking action in the event a measurement exceeds a user defined limit. Such action might include, for example, transmitting a message to a PC for display to a system manager, initiating a page to a system manager, closing a pair of contacts, and/or the like.

The controller 22 and detectors 12, 112 further include circuitry 132 to hold (20, 120) a peak from a respective detector module 12, 112 once the peak has been detected, and to discharge the held (20, 120) peak of a respective detector module 12, 112 once the held (20, 120) peak has been sampled by the controller 22. The various detector modules 12, 112 are then prepared to hold subsequent samples.

The controller 22 further includes circuitry 134 to permit the controller 22 to synchronize its operations to the timing of a polling controller 136, typically a computer, which manages the traffic on the return path side 14 of the CATV network. This permits the ingress monitoring system 10, when it is operating in certain modes, to take measurements during intervals when there is no subscriber traffic in the return path 14.

10

15

20

25

30

Illustratively, the controller 22 emulates one of the polled subscriber return signaling terminal devices 138 operating in the frequency band of interest. The controller 22 is assigned a polling address, just as are the subscriber terminal devices 138. This address is entered into the polling computer 136 by the CATV system operator in the same manner as are the addresses of subscriber terminal devices 138. When the polling computer 136 polls the controller 22, the controller 22 discharges all of the detector modules 12, 112, preparing them to receive and hold subsequent samples. Since no subscriber terminal device 138 is transmitting during this interval, noise immunization is practically assured.

Further illustratively, the controller 22 can be synchronized using a digital connection directly to the polling computer 136 if the polling computer 136 is equipped to provide such a connection.

In operation, the system 10 is capable of operating in different modes. The modes can be time-division multiplexed. Each mode is intended to monitor a characteristic of the return path and provide a record of the monitored characteristic. The most straightforward of the modes of operation is the broadband mode. In this mode, a broadband detector circuit 12 is coupled to the RF output port 140 of each return optical fiber receiver. The controller 22 samples the peak held voltages of all of the detectors 12 sequentially by sequentially momentarily closing and then opening each of the data collector switches 141 associated with controller 22, analog-to-digital (A/D) converting the sampled voltages, and then comparing each A/D converted voltage to (a) user-preset limit(s) stored in the controller 22's memory 142. Multiple limits may be desirable. For example, a user may wish to have "caution," or "yellow," as well as "overload," or "red," limits, or different limits or sets of limits, for each channel 144 or different groups of channels 144, and these different combinations are within the contemplation of this disclosure. Scanning of the various detectors 12 in this broadband mode occurs very rapidly, since only a single DC voltage need be measured at each detector 12. Sampling rates on the order of 100 channels per second are desirable and imminently achievable with existing hardware.

Referring to Fig. 4, in another, narrow band, mode of operation, narrow band detector circuits 112 are coupled to the RF output ports 140 of respective return optical fiber receivers 146. The detectors 112 are tuned 126, 128 to

10

15

20

25

30

the return transmitting frequencies of the service which are to be protected from inband ingress. Operated in the asynchronous mode, the system 10 measures the peak strengths of signals transmitted by the subscriber devices 138 as they are polled by the service's managing computer 136. Operated in the synchronous mode, the system 10 only performs measurements when the managing computer 136 notifies it that no subscriber device 138 is transmitting. This measurement thus determines the level of in-band ingress affecting particular bands as the detectors 112 tuned to monitor those bands are interrogated.

It should also be recognized that by comparing the asynchronous and synchronous data, the system 10 can calculate the in-band carrier-to-noise ratio. If this function is implemented, another value, carrier-to-(ingress + noise)(C/(I + N)) becomes available as a user-selectable limit against which the detector 12, 112 output port voltages can be compared.

The system 10 thus offers the advantage of speed in its ability to detect ingress bursts microseconds in duration from a relatively inexpensive suite of equipment. Additionally, the system 10 captures transients having durations set only by the detector 12's, 112's low pass filters 18, 118, 124, and hold these measurements pending access to the captured measurements by the system's controller 22.

Further, because the data to be sampled and captured by the controller 22, namely, DC voltage levels, is relatively straightforward, the system 10 speed can be relatively high, on the order of hundreds of test points per second. Consequently, several measurements of the output of a single detector 12, 112 can be obtained in a second or over a few seconds. The data can thus be used to perform statistically meaningful analyses of the output voltages of the various detectors 12, 112.

The principles of operation of the system 10 are straightforward, making demonstration, installation and use relatively easy.

The present system 10 is relatively inexpensive. Further, since a single controller 22 operates several detector circuits 12, 112, and since the detector circuits 12, 112 themselves are relatively inexpensive, the basic system 10 is flexible enough to use with a broad range of sizes of CATV systems.

10

15

20

CLAIMS:

- 1. A noise monitoring system comprising a detector circuit coupled to a signal source, the detector circuit providing an output signal indicative of the signal coupled from the source, and a peak follower circuit coupled to the detector circuit for storing a peak detected output signal from the detector circuit.
- 2. The system of claim 1 wherein the peak follower circuit is coupled to the detector circuit through a filter circuit having a defined frequency range, the peak follower circuit storing a peak detected output signal within the defined frequency range.
- The system of claim 2 wherein the filter circuit comprises a low pass filter circuit.
- 4. The system of claim 1 further comprising a mixer for mixing the signal from the signal source with a local oscillator frequency to produce a mixed signal, and for supplying the mixed signal to the detector circuit.
- 5. The system of claim 4 wherein the mixed signal is supplied to the detector circuit through a low pass filter.
- 6. The system of claim 1 wherein the peak follower circuit has a characteristic attack time so that the peak follower circuit stores a peak detected output signal from the detector circuit having a defined minimum duration.
- 7. The system of claim 1 further comprising a controller coupled to the peak follower circuit and configured to receive the amplitude of the stored peak signal.
- 8. The system of claim 7 wherein the controller is configured to receive the amplitude of the stored peak signal periodically.
 - 9. The system of claim 7 wherein the controller is further configured to generate a message if the amplitude of the stored peak signal exceeds a defined threshold.
- 10. The system of claim 7 comprising a plurality of said detectors
 and a plurality of said peak follower circuits, the controller comprising a controller for interrogating the plurality of peak follower circuits in an established order, for receiving the amplitudes of the stored peak signals from the plurality of peak follower

10

15

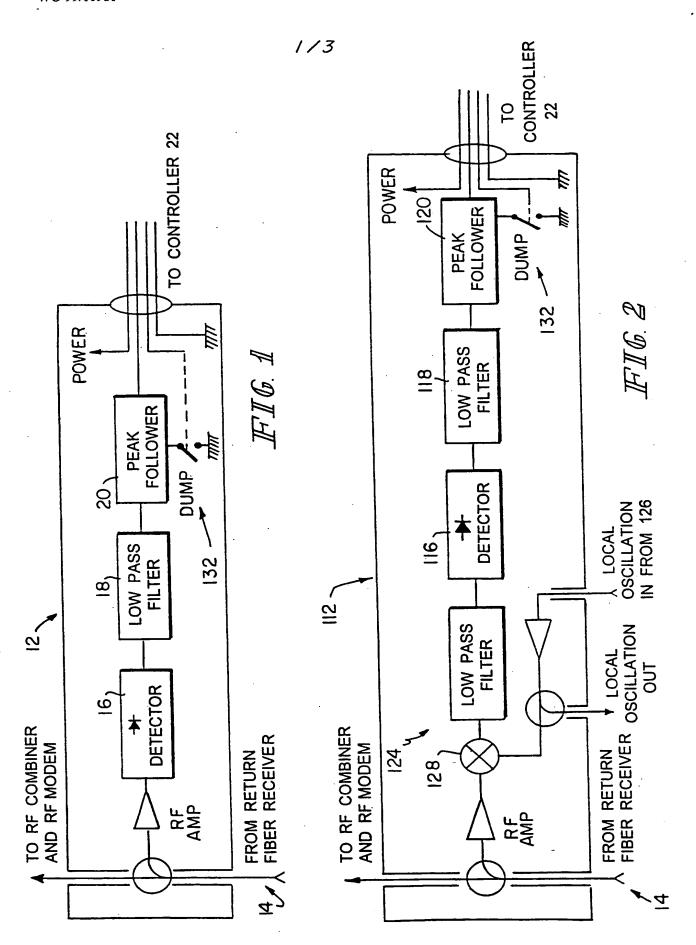
20

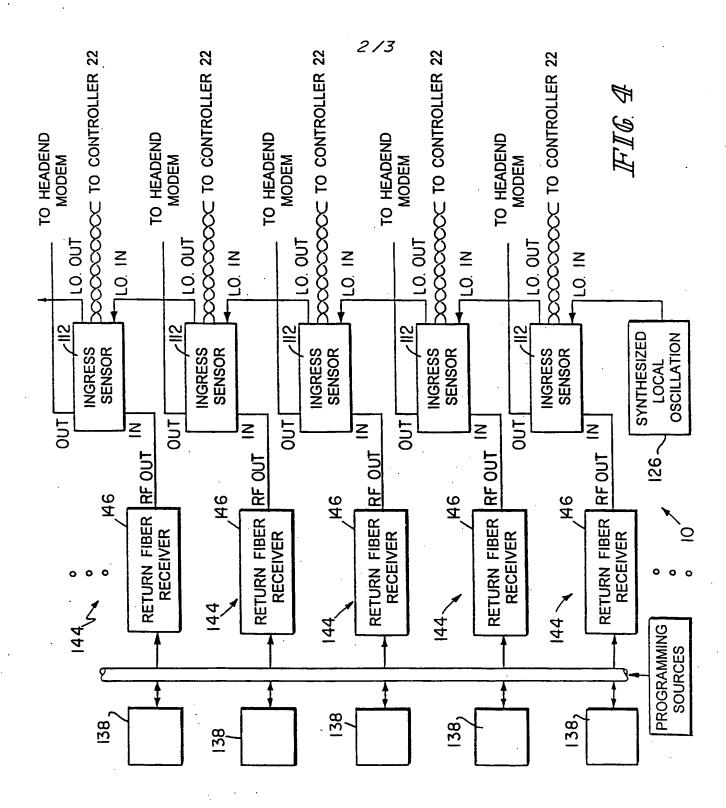
25

circuits, for subsequently deleting the amplitudes of the stored peak signals, and then for repeating this cycle.

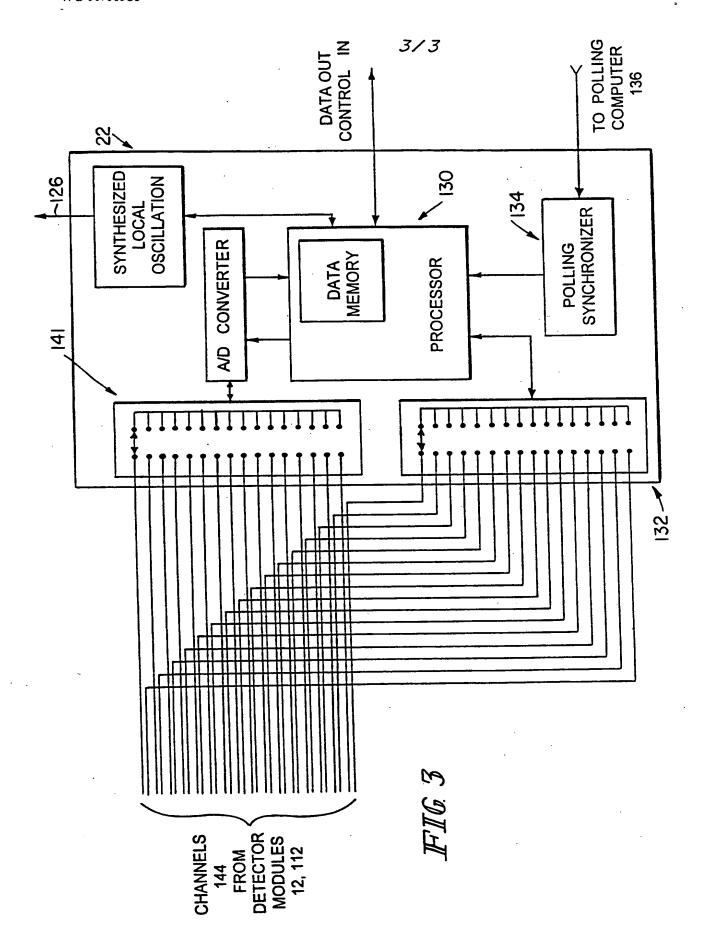
- 11. The system of claim 1, 2, 3, 4, 5, 6, 7, 8, 9 or 10 wherein the signal source is the return path of a two-way CATV system.
- 12. A system for monitoring the ingress of noise into the return path of a two-way CATV network, the system comprising a detector circuit coupled to the return path to receive a signal from the return path, the detector circuit providing an output signal indicative of the signal coupled from the network, and a peak follower circuit coupled to the detector circuit for storing a peak detected output signal from the detector circuit.
- 13. The system of claim 12 wherein the peak follower circuit is coupled to the detector circuit through a filter circuit having a defined frequency range, the peak follower circuit storing a peak detected output signal within the defined frequency range.
- 14. The system of claim 13 wherein the filter circuit comprises a low pass filter circuit.
- 15. The system of claim 12 further comprising a mixer for mixing the signal from the network with a local oscillator frequency to produce a mixed signal, and for supplying the mixed signal to the detector circuit.
- 16. The system of claim 15 wherein the mixed signal is supplied to the detector circuit through a low pass filter.
- 17. The system of claim 12 wherein the peak follower circuit has a characteristic attack time so that the peak follower circuit stores a peak detected output signal from the detector circuit having a defined minimum duration.
- 18. The system of claim 12 further comprising a controller coupled to the peak follower circuit and configured to receive the amplitude of the stored peak signal.
 - 19. The system of claim 18 wherein the controller is configured to receive the amplitude of the stored peak signal periodically.
- 20. The system of claim 18 wherein the controller is further configured to generate a message if the amplitude of the stored peak signal exceeds a defined threshold.

21. The system of claim 18 comprising a plurality of said detectors and a plurality of peak follower circuits, the controller comprising a polling controller for polling the plurality of peak follower circuits in an established order, for receiving the amplitudes of the stored peak signals from the plurality of peak follower circuits for a time, for deleting the amplitudes of the stored peak signals after the expiration of the time, and then for repeating this cycle.





PCT/US98/12753



INTERNATIONAL SEARCH REPORT

International application No. PCT/US98/12753

A. CLAS	CLASSIFICATION OF SUBJECT MATTER							
(-,								
US CL :	o International Patent Classification (IPC) or to both m	ational classification and IPC						
B. FIEL	B. FIELDS SEARCHED							
Minimum documentation searched (classification system followed by classification symbols)								
U.S. : 348/6, 7, 10-12; 455/3.1, 3.2 6.3, 5.1								
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched								
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)								
C. DOCUMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where app	ropriate, of the relevant passages Relevant to claim No.						
×	US 3,842,661 A(HARTING ET AL.) 22 5	OCTOBER 1974, FIGURE 1,2,3,6,7,8						
×	US 4,429,578 A(DARREL ET AL.) 2 FEBURARY 1984, FIGURE 1. 1,2,6,7,8							
x	US 3,629,510 A(ANDERSON) 21 DE	CEMBER 1971, FIGS. 1-3 1,2,3,6,7,8						
	C.							
	·							
}								
	· ·							
ļ								
		,						
	·							
Further documents are listed in the continuation of Box C. See patent family annex.								
• s	pecial categories of cited documents:	To later document published after the international filing date or priority date and not in conflict with the application but cited to understand						
.v. q	ocument defining the general state of the art which is not considered to be of particular relevance	the principle or theory underlying the invention						
ł .	arlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone						
j 6	ocument which may throw doubts on priority claim(s) or which is ited to establish the publication date of another citation or other pecial reason (as specified)	-y- document of particular relevance; the claimed invention cannot be						
n .	locument referring to an oral disclosure, use, exhibition or other neans	combined with one or more other such documents, such combination being obvious to a person skilled in the art						
	socument published prior to the international filing date but later than the priority date claimed	*&* document member of the same patent family						
Date of th	e actual completion of the international search	Date of mailing of the international search report						
24 SEP	TEMBER 1998	1 9 OCT 1998						
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT		Authorized officer NATHAN FLYNN						
Washing	ton, D.C. 20231	Telephone No. (703) 305-9703						
Facsimile	No. (703) 305-3230	total training training to the training to the training t						

Form PCT/ISA/210 (second sheet)(July 1992)*

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS .
☐ MAGE CUT OFF AT TOP, BOTTOM OR SIDES
FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
OTHER.

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.